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## TRANSMITTAL FORM

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		Application Number	09/502,313
		Filing Date	2/11/2000
		First Named Inventor	Keith Rose
		Art Unit	3624
		Examiner Name	Campen, Kelly
Total Number of Pages in This Submission	2	Attorney Docket Number	1142

### ENCLOSURES (Check all that apply)

<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Technology Center (TC)
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### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Charles E. Gotlieb
Signature	
Date	February 14, 2005

### CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, PO Box 1450, Arlington, VA 22313-1450 on the date shown below.

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This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 mintues to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, PO Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Comissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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## INNOVATION|PARTNERS

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February 14, 2005

Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

Re: **U.S. Patent Application Serial Number: 09/502,313**  
Title: METHOD AND APPARATUS FOR RECEIVING A SUBSCRIPTION  
FOR A SECURITY  
Inventor(s): Keith Rose, Karin Riley and Coreen Kaplan  
Filed: February 11, 2000  
Our Reference No.: 10009-1142

More than 24 months have passed since the filing of this application on 2/11/2000. No communication has been received from the Patent and Trademark Office indicating action on this application. Please inform me of the present status of this application.

If you have any questions, please feel free to call me at (650) 328-0100.

Sincerely,



Charles E. Gotlieb

CEG/kaj